



Please type a plus sign (+) inside this box → ☐

PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>ELECTION AND POWER OF ATTORNEY OR AUTHORIZATION OF AGENT</b>	<b>Application Number</b>	10/002,603
	<b>Filing Date</b>	October 30, 2001
	<b>First Named Inventor</b>	Alexander Gaiger
	<b>Group Art Unit</b>	1644
	<b>Examiner Name</b>	
	<b>Attorney Docket Number</b>	210121.465C6

I hereby appoint:

☒ Practitioners at Seed IP Law Group PLLC

OR

☐ Practitioner(s) named below:



Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☐ The above-mentioned Customer Number.

OR

<input type="checkbox"/> Firm or Individual Name					
Address					
Address					
City		State		ZIP	
Country					
Telephone		Fax			

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.

*Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).*

☒ As assignee of record of the entire interest hereby elect, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventor

**SIGNATURE of Applicant or Assignee of Record**

<b>Name</b>	Kathleen Nora McKereghan
<b>Signature</b>	
<b>Date</b>	2 May 2002

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

Burden Hour Statement: This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any Comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

D:\NrPortb\NManage\JENH\244653\_1.DOC [01-14-01]



PTO/SB/96 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Alexander Gaiger et al.Application No./Patent No.: 10/002,603 Filed/Issue Date: October 30, 2001Entitled: COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPYCorixa Corporation

(Name of Assignee)

a

corporation(Type of Assignee, e.g., corporation, partnership,  
university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or  
2. ☒ an assignee of an undivided part interest

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above.  
The assignment was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the  
current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

2. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached

3. From: \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be  
submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records  
of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

2 May 2002  
Date

Kathleen Nora McKereghan

Typed or printed name

Kathleen Nora McKereghan  
Signature

**Senior Vice President, General Counsel and  
Secretary**

Title

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual  
case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S.  
Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:  
Assistant Commissioner for Patents, Washington, DC 20231.

210121.465C6

D:\nrPortb\NManage\JENH244661\_1.DOC [09-14-01]

**ASSIGNMENT OF UTILITY PATENT APPLICATION**

WHEREAS, we,

**COPY**

Name	Post Office Address	
Patricia D. McNeill	1333 South 290 <sup>th</sup> Place, Federal Way, Washington 98003	;
Molly D. Smithgall	7217 28 <sup>th</sup> Avenue Northeast, Seattle, Washington 98115	;
Gus Moulton	7026 23 <sup>rd</sup> Avenue Northeast, Seattle, Washington 98115	;
Thomas S. Vedvick	124 South 300 <sup>th</sup> Place, Federal Way, Washington 98003	;
Paul R. Sleath	1623 Eighth Avenue West, Seattle, Washington 98119	;
Sally P. Mossman	2815 Northwest 58 <sup>th</sup> Street, Seattle, Washington 98107	;
Lawrence S. Evans	6521 36 <sup>th</sup> Avenue Southwest, Seattle, Washington 98126	;
A. Gregory Spies	19018 18 <sup>th</sup> Avenue Northeast, Shoreline, Washington 98155	;
Jeremy Boydston	5210 Woodlawn Avenue North, Seattle, Washington 98104	;

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are joint inventors (with Alexander Gaiger) of an invention entitled

**COPY****COMPOSITIONS AND METHODS FOR WT1 SPECIFIC IMMUNOTHERAPY**

which is described and claimed in the specification and claims of Patent Application No. 10/002,603, as filed in the United States Patent and Trademark Office on October 30, 2001 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/938,864	08/24/01		210121.465C5
09/785,019	02/15/01		210121.465C4
09/685,830	10/09/00		210121.465C3
09/684,361	10/06/00		210121.465C2

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/276,484	03/25/99		210121.465C1
09/164,223	09/30/98		210121.465

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and behalf, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention,

for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefore.

4-30-02  
Date  
WASHINGTON  
State of )  
KING  
County of ) ss.

Patricia D. McNeill  
Signature of Patricia D. McNeill

I certify that I know or have satisfactory evidence that Patricia D. McNeill is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 30, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

4/26/02  
Date  
WASHINGTON  
State of )  
KING  
County of ) ss.

Molly D. Smithgall  
Signature of Molly D. Smithgall

I certify that I know or have satisfactory evidence that Molly D. Smithgall is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be her free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 26, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

4-24-02  
Date \_\_\_\_\_  
Signature of Gus Moulton \_\_\_\_\_  
State of WASHINGTON )  
County of KING ) ss.

I certify that I know or have satisfactory evidence that Gus Moulton is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 24, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

4/12/02  
Date \_\_\_\_\_  
Signature of Thomas S. Vedvick \_\_\_\_\_  
Signature of Thomas S. Vedvick  
State of WASHINGTON )  
County of KING ) ss.

I certify that I know or have satisfactory evidence that Thomas S. Vedvick is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 12, 2002  
Signature of Notary Public Deirdre I. Bryan  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

Date

4/14/02

Signature of Paul R. Sleath

State of WASHINGTON)

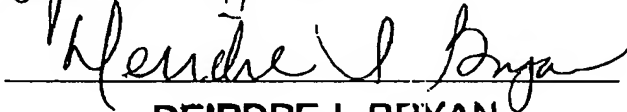
ss.

County of KING)

I certify that I know or have satisfactory evidence that Paul R. Sleath is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated

April 14, 2002

Signature of  
Notary Public

Printed Name

DEIRDRE I. BRYAN

My appointment expires

9/1/02

Date

4/26/02

Signature of Sally P. Mossman

State of WASHINGTON)

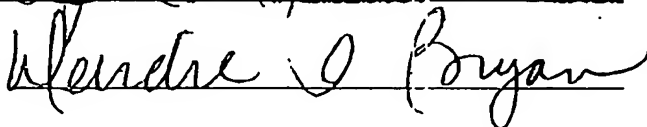
ss.

County of KING)

I certify that I know or have satisfactory evidence that Sally P. Mossman is the person who appeared before me, and said person acknowledged that she signed this instrument and acknowledged it to be free and voluntary act for the uses and purposes mentioned in the instrument.

Dated

April 26, 2002

Signature of  
Notary Public

Printed Name

My appointment expires

9/1/02

Date 4-29-2002 Signature of Lawrence S. Evans  
Lawrence S. Evans  
Signature of Lawrence S. Evans  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that Lawrence S. Evans is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated April 29, 2002  
Signature of Deirdre I. Bryan  
Notary Public  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02

Date 4-25-2002 Signature of A. Gregory Spies  
Signature of A. Gregory Spies  
State of WASHINGTON)  
County of KING) ss.

I certify that I know or have satisfactory evidence that A. Gregory Spies is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

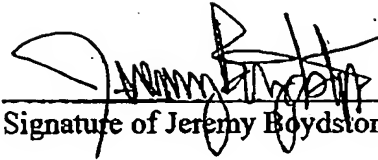
Dated April 25, 2002  
Signature of Deirdre I. Bryan  
Notary Public  
Printed Name DEIRDRE I. BRYAN  
My appointment expires 9/1/02



Date

4/12/02

Signature of Jeremy Boydston

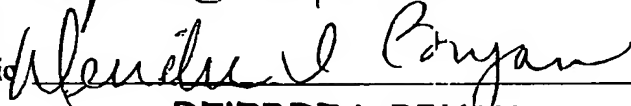
State of WASHINGTON)County of KING)

ss.

I certify that I know or have satisfactory evidence that Jeremy Boydston is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated

April 12, 2002

Signature of  
Notary Public

Printed Name

DEIRDRE I. BFIYAN

My appointment expires

9/1/02

244485\_1.DOC